



COPY OF PAPERS
ORIGINALLY FILED

PATENT APPLICATION
Docket No: 14476.31.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Berringer, et al.

Serial No. 09/875,756

Filed: June 6, 2001

For: CREATING AND VERIFYING ELECTRONIC
DOCUMENTS

RECEIVED
JUL 22 2002
TECHNOLOGY CENTER 2800

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR § 1.97

Assistant Commissioner for Patents
Washington, DC 20231

Dear Sir:

Please find, pursuant to 37 CFR § 1.98(a)(1), enclosed Form PTO-1449 that contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 CFR § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 37 CFR §§ 102 or 103, the enclosed list of references is disclosed to fully comply with the duty of disclosure set forth in 37 CFR § 1.56.

Pursuant to 37 CFR § 1.97(g) and (h), Applicant makes no representation that a search has been made or that the information cited is considered to be material to patentability. Additionally, Applicant makes no representation regarding the completeness of this list nor represents that other art does not exist.

In accordance with 37 CFR §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

DATED this 12 day of July 2002.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "John C. Stringham", with a long horizontal flourish extending to the right.

JOHN C. STRINGHAM
Attorney for Applicant
Registration No. 40,831

WORKMAN, NYDEGGER & SEELEY
1000 Eagle Gate Tower
60 East South Temple
Salt Lake City, Utah 84111
Telephone: (801) 533-9800
Facsimile: (801) 328-1707

2876

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
14476.31.1

In Re Application Of: Berringer et al.

COPY OF PAPERS
ORIGINALLY FILED

Serial No.
09/875,756

Filing Date
06/06/01

Examiner
Not Yet Assigned

Group Art Unit
2876

Title: **CREATING AND VERIFYING ELECTRONIC DOCUMENTS**

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

RECEIVED
JUL 22 2002

TECHNOLOGY CENTER 2000

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
14476.31.1

In Re Application: Berringer et al.

**COPY OF PAPERS
ORIGINALLY FILED**

Serial No.

09/875,756

Filing Date

06/06/01

Examiner

Not Yet Assigned

Group Art Unit

2876

CREATING AND VERIFYING ELECTRONIC DOCUMENTS



RECEIVED
JUL 22 2002
TECHNOLOGY CENTER 2800

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited July 12, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

John C. Stringham
Signature of Person Mailing Correspondence

JOHN C. STRINGHAM

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

John C. Stringham
Signature
JOHN C. STRINGHAM, Reg. No. 40,831

Dated: July 12, 2002



022913

PATENT TRADEMARK OFFICE

CC:

Applicant: Edstrom, et al.

Serial No.: 09/875,603

Att'y Docket No.: 14476.33.1

Filing Date: June 6, 2001

For: SECURE DOCUMENT TRANSPORT PROCESS

INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANTU.S. Patent Documents

Examiner Initial*	Patent Number	Issue Date	Name	Class	Sub Class	Filing Date
_____ A1	5,903,882	05/11/99	Asay et al.	705	44	12/13/96

Foreign Patent Documents

Examiner Initial*	Document Number	Publ. Date	Country or Patent Office	Class	Sub Class	Trans- lation
_____ B1	NONE					

Other Documents

(including author (if listed), title, relevant pages, date of publication including at least month and year).

Examiner

Initial*

_____ C1 NONE

RECEIVED
JUL 22 2002
TECHNOLOGY CENTER 2800

Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449

Applicant: Edstrom, et al.

Serial No.: 09/875,603

Filing Date: June 6, 2001

For: SECURE DOCUMENT TRANSPORT PROCESS



COPY OF PAPERS
ORIGINALLY FILED

Sheet 2 of 2

Att'y Docket No.: 14476.33.1

References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

G:\wpdocs2\GNUSTR\14476\33_1 1449 supp2.doc

RECEIVED
JUL 22 2002
TECHNOLOGY CENTER 2800

Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.